



# Tension-compression asymmetry in amorphous silicon

Yuecun Wang<sup>®</sup><sup>1,6</sup>, Jun Ding<sup>®</sup><sup>2,6</sup>, Zhao Fan<sup>®</sup><sup>3,6</sup>, Lin Tian<sup>®</sup><sup>4,6</sup>, Meng Li<sup>®</sup><sup>1</sup>, Huanhuan Lu<sup>1</sup>, Yongqiang Zhang<sup>1</sup>, En Ma<sup>®</sup><sup>2</sup>, Ju Li<sup>®</sup><sup>5</sup> and Zhiwei Shan<sup>®</sup><sup>1</sup>

Hard and brittle materials usually exhibit a much lower strength when loaded in tension than in compression. However, this common-sense behaviour may not be intrinsic to these materials, but arises from their higher flaw sensitivity to tensile loading. Here, we demonstrate a reversed and unusually pronounced tension-compression asymmetry (tensile strength exceeds compressive strength by a large margin) in submicrometre-sized samples of isotropic amorphous silicon. The abnormal asymmetry in the yield strength and anelasticity originates from the reduction in shear modulus and the densification of the shear-activated configuration under compression, altering the magnitude of the activation energy barrier for elementary shear events in amorphous Si. In situ coupled electrical tests corroborate that compressive strains indeed cause increased atomic coordination (metallization) by transforming some local structures from  $sp^3$ -bonded semiconducting motifs to more metallic-like sites, lending credence to the mechanism we propose. This finding opens up an unexplored regime of intrinsic tension-compression asymmetry in materials.

norganic materials with covalent or ionic bonding are well known to be much stronger in compression than in tension. That is, for a given material, the experimentally recorded compressive strength ( $\sigma_{\rm C}$ ) is usually much higher than the tensile strength ( $\sigma_{\rm T}$ )<sup>1-3</sup>. However, this apparent tension-compression (T-C) asymmetry is not the intrinsic inelastic response of these materials, but instead originates from the very different sensitivity to preexisting flaws (such as the internal voids, pores and surface blemishes)<sup>4,5</sup> under tension versus compression. Specifically, a tensile load tends to open up the flaw into an incipient crack and accelerate the crack propagation, such that fracture sets in prematurely at relatively low stresses to preempt global yielding6. By contrast, flaws tend to close up under a compressive load, such that the compressive yield strength is much higher and close to the intrinsic strength of the material<sup>3</sup>. A fundamental question then naturally arises, as to whether a T-C asymmetry remains when the effects of flaws are eliminated, and if it does, would  $\sigma_C$  be higher or lower than  $\sigma_D$  by how much and why.

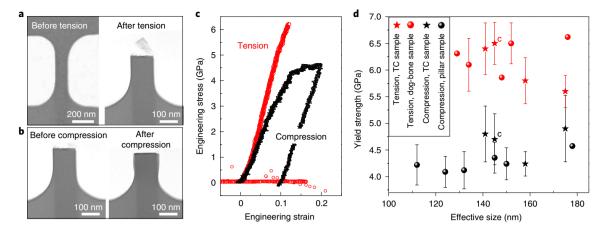
To answer the question posed above, we resort to samples that are initially nearly free of extended defects<sup>7</sup>, such that the chances for premature fracture are minimized<sup>8,9</sup>. One known way to do this is to reduce the physical dimensions of the tested volume, as ultrahigh strength close to the theoretical limit has been demonstrated before in micro- and nano-scale samples<sup>8,10</sup>. We, therefore, carried out quantitative compression versus tension testing of submicrometre-sized amorphous Si (a-Si), feasible using a nano-mechanical testing system inside a transition electron microscope (TEM). Here the choice of a-Si is made, in lieu of crystalline compounds, to avoid complications due to variable slip systems, crystal anisotropy and chemical composition, not to mention that a-Si is one of the most important semiconductors and a classic model material for the fundamental research of disordered matter<sup>11-15</sup>. In

the following, we will demonstrate an unusual T-C asymmetry: the  $\sigma_{\rm C}>>\sigma_{\rm T}$  norm is reversed, and astonishingly  $\sigma_{\rm T}$  exceeds  $\sigma_{\rm C}$  by a large margin. This surprising observation is also corroborated in atomistic simulations.

## Results

T-C asymmetry in submicrometre-sized a-Si. Submicrometresized a-Si samples, micromachined from deposited a-Si film (Supplementary Fig. 1) using a focused ion beam (FIB), were tested in both tension and compression. One type of test used the same specimen for tension and compression, thus excluding possible artefacts from comparing different samples. This type of tensile-compressive (TC) sample is shown in Fig. 1a. The TC sample was first subjected to tensile loading. The gauge section elongated with increased loading, and then fractured abruptly (Fig. 1a). After the tensile fracture, the lower half of the TC sample remained intact, with its flat fracture surface perpendicular to the loading direction (Fig. 1b). This part of the sample was then used for compression, loaded under a flat diamond punch. In compression, the sample showed continuous shape change with homogeneous plastic flow after yielding. Supplementary Video 1 shows the real-time tensile and compressive deformation processes. The corresponding engineering stress-strain curve is presented in Fig. 1c (effective size,  $d = 145 \,\mathrm{nm}$ ). The flow stress at a 5% plastic strain, ~4.5 GPa for this sample, is taken as the yield strength in compression. In tension, however, a-Si did not yield at stresses exceeding ~4.5 GPa, until the fracture set in at ~6.5 GPa. It is uncertain if this fracture is preceded by yielding, with large but highly localized plastic strains that have immediately instigated failure. Another possibility is that the sudden fracture may be triggered prematurely by minor flaws in the specimen, preempting yielding. In any case, under tension, the

<sup>1</sup>Center for Advancing Materials Performance from the Nanoscale and Hysitron Applied Research Center in China, State Key Laboratory for Mechanical Behavior of Materials, Xi'an Jiaotong University, Xi'an, China. <sup>2</sup>Center for Alloy Innovation and Design, State Key Laboratory for Mechanical Behavior of Materials, Xi'an Jiaotong University, Xi'an, China. <sup>3</sup>Department of Materials Science and Engineering, Johns Hopkins University, Baltimore, MD, USA. <sup>4</sup>Institute of Materials Physics, University of Göttingen, Niedersachsen, Germany. <sup>5</sup>Department of Nuclear Science and Engineering, Department of Materials Science and Engineering, Massachusetts Institute of Technology, Cambridge, MA, USA. <sup>6</sup>These authors contributed equally: Yuecun Wang, Jun Ding, Zhao Fan, Lin Tian. <sup>™</sup>e-mail: maen@xjtu.edu.cn; liju@mit.edu; zwshan@xjtu.edu.cn



**Fig. 1 | T-C** asymmetry in submicrometre-sized a-Si. a, The gauge section of a typical TC a-Si sample before the test (left) and after its brittle fracture in the tensile test (right). b, Subsequent compression test on the leftover sample section. c, Engineering stress-strain curves of the TC sample under tension (red) and compression (black). d, Tensile and compressive yield strength of a-Si samples with the effective size of -110-180 nm. The 'c' labels correspond to the tensile and compressive curves in c. The error bar encloses twice the standard deviation.

stress needed to induce yielding is at least  $\sim$ 6.5 GPa, which is designated as the 'yield strength' in tension.

One may wonder if this asymmetry is due to tension-induced fertile sites for shear transformations<sup>16</sup>, causing some softening in the subsequent compression. Therefore, we also prepared independent tensile and compression samples, that is, separate pillars for compression and 'dog-bone shaped' samples for tension (Methods). For these samples, the measured strengths follow the same trend as that in TC samples: tensile excursion ends in fracture while compressive loading initiates homogeneous plastic flow at a much lower stress level (more data are documented in Supplementary Fig. 2). Figure 1d summarizes the yield strength of a-Si  $(d = \sim 110 - 180 \text{ nm})$ under compression (black) versus that under tension (red). We see that  $\sigma_T$  is considerably higher than  $\sigma_C$ . In other words, we consistently observe that a-Si is much stronger in tension than in compression. Note that a-Si remained fully amorphous after either tensile or compressive deformation, as confirmed in post-mortem characterizations (Supplementary Fig. 3).

Dynamic tests in the apparent elastic regime provide further insight into the T-C asymmetry of a-Si. To achieve high sensitivity, we used the nano dynamic mechanical analysis technique 17,18. Stress-displacement curves were obtained by overlapping ten loading-unloading cycles with peak stress well below the yielding strength. In the dynamic tensile tests, a-Si exhibits a linear elastic behaviour for loading frequencies ranging from 0.5 Hz to 20 Hz (Fig. 2a). The push-to-pull device itself, in the absence of an a-Si sample, also showed perfect linear elasticity (Supplementary Fig. 4). By contrast, the compressed a-Si exhibits a mechanical hysteresis loop, which expands gradually with increasing loading frequency. The energy dissipated can be assessed using the damping factor, which represents the ratio of the loss modulus to the storage modulus 19. Storage modulus is a metric for the elastic energy stored in the sample; loss modulus, on the other hand, is a gauge of viscous response and measures the energy dissipated as heat<sup>20</sup>. In compression, the damping factor is found to be 0.009, 0.044, 0.105, 0.098 and 0.162, corresponding to the average strain rate of  $0.05 \,\mathrm{s}^{-1}$ ,  $0.20 \,\mathrm{s}^{-1}$ ,  $0.51 \,\mathrm{s}^{-1}$ ,  $0.95 \,\mathrm{s}^{-1}$  and  $1.82 \,\mathrm{s}^{-1}$ , respectively. Comparing tension versus compression in the nominally elastic regime, apparently a-Si is more elastic and stores more elastic strain energy under tension, whereas it undergoes much more anelastic relaxation with energy dissipation in compression. This T-C asymmetry in an elastic-dominant regime, that is, anelasticity, is markedly enhanced under compression but suppressed

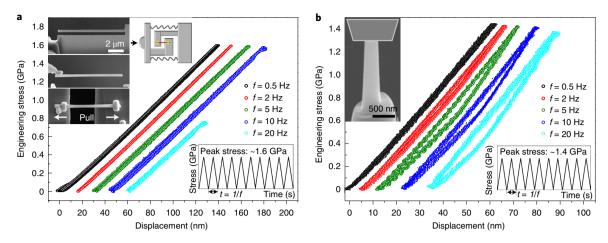
under tension, and goes hand in hand with the asymmetry in yield strength.

Atomistic simulations of the T-C asymmetry in a-Si. To corroborate the experimentally observed T-C asymmetry and shed light on the underlying mechanism, we have carried out molecular dynamics (MD) simulations using three different empirical potentials for a-Si, including the Stillinger-Weber potential<sup>21</sup> and an environment-dependent interatomic potential<sup>22</sup>, as well as a newly developed machine-learning (ML) interatomic potential<sup>23</sup>, which has been shown to generate atomic structure and properties close to the experimental measurements<sup>24</sup>. All these empirical potentials are consistent in producing the T-C asymmetry. For conciseness, in the main text we only show the ML potential simulation results, whereas the results of the Stillinger-Weber and environment-dependent interatomic potentials are documented in the Supplementary Information and Methods with details about model preparation and deformation simulation.

We first simulated the uniaxial compression and tension of a-Si, in which the applied uniaxial load can be decomposed into shear stress  $\tau$  and normal stress  $\sigma_n$  on the maximum shear plane (inclined ~45° to the loading axis), as schematically illustrated in Fig. 3a. The compressive yielding required a stress of ~5.5 GPa at a strain of ~9%, while the tensile yield strength is 7.2 GPa at a strain of 13% (Fig. 3b). Such a T-C asymmetry ( $\sigma_C < \sigma_T$ ; also Supplementary Figs. 5 and 6) is consistent with the experimental results in Fig. 1. The uniaxial tension and compression correspond to, respectively, positive (that is,  $\sigma_n > 0$ ) and negative (that is,  $\sigma_n < 0$ ) normal stress; the normal stress effect is therefore the key factor responsible for the T-C asymmetry. To provide quantitative information about the  $\sigma_n$  effect and remove the influence of the free surface, we consider a deformation model of simple shear concurrent with a constant perpendicular normal stress  $\sigma_n$  (as illustrated in the right panel of Fig. 3a). Figure 3c presents the shear stress-strain curves for ML a-Si in three  $\sigma_n$  cases (0 GPa, 3.5 GPa and -3.5 GPa). We observe that  $\sigma_n < 0$  leads to lowered yield strength, while  $\sigma_n > 0$ leads to increased yield strength, in agreement with the results presented earlier.

Next, we employed MD simulations to calculate the shear modulus G of a-Si at 300 K under different  $\sigma_n$  applied along the z axis. G is examined here mainly because it is widely regarded as a key baseline property for amorphous materials, and known to be closely related to the energy barrier for shear transformation, yielding/flow

NATURE MATERIALS ARTICLES



**Fig. 2 | T-C asymmetry of submicrometre-sized a-Si in the nominally 'elastic' regime. a**, The load-displacement curves obtained by cycling an a-Si tensile sample ten times at different load-unload frequencies (*f*, the number of loading-unloading cycles per second). The left insets show that the a-Si tensile sample was thinned and cut by FIB, transferred to a push-to-pull device and then fixed using Pt deposition on both ends. The lower right corner inset shows the triangular-shaped loading cycles, consisting of symmetrical loading and unloading portions (*t*, the reciprocal of *f*, represents the period time of a loading-unloading cycle). **b**, The load-displacement curves obtained by cycling an a-Si pillar under compression ten times at different load cycle frequencies. The upper left inset shows the scanning electron microscopy image of the pillar after the test. The lower right corner inset shows the triangular-shaped loading cycles.

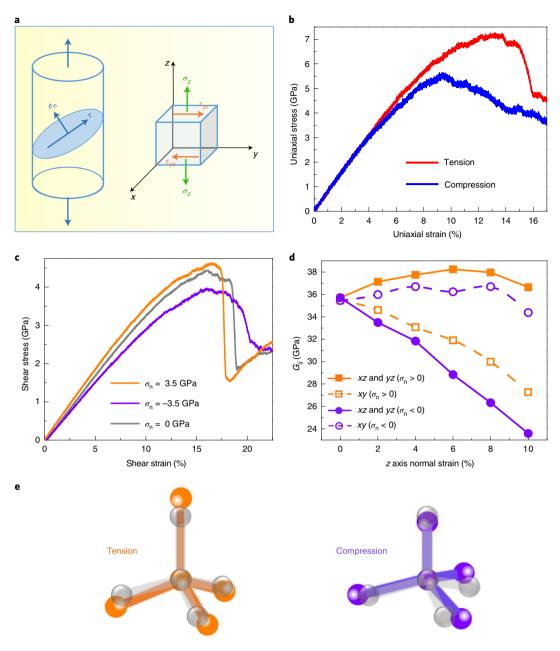
and structural relaxation, as shown, for example, in the cooperative shear model developed by Johnson and Samwer<sup>25</sup>. Figure 3d shows the change of  $G_{xz}$  (or  $G_{yz}$ ) and  $G_{xy}$  as strain increases. Here the first and second subscript of  $G_{ii}$  represent the shear direction and normal direction of the shear plane, respectively (therefore  $G_{vz}$  is identical to  $G_{xz}$  considering the applied  $\sigma_n$  is along the z axis). Since  $G_{xz}$  and  $G_{vz}$  are shear moduli in the plane normal to  $\sigma_{vz}$ , they determine the energy barrier for shear events, under the tension or compression along the z axis (resembling the uniaxial deformation illustrated in Fig. 3a). In early stages of straining, tensile normal stress  $(\sigma_n > 0)$ increases  $G_{xz}$  (or  $G_{yz}$ ), which goes through a maximum value at large strains. By contrast, under compressive normal stress ( $\sigma_{\rm n}$  < 0),  $G_{\rm rz}$  (or  $G_{vz}$ ) keeps decreasing from the start. The evolution of  $G_{xv}$  exhibits an opposite trend compared with  $G_{xz}$  and  $G_{yz}$ : the tensile normal stress leads to lower  $G_{xy}$  while compressive stress increases  $G_{xy}$  slightly first and then reduces it. Therefore, the shear moduli become anisotropic when a normal stress is imposed on a-Si. This anisotropic variation/response of shear moduli, in turn, is partly responsible for the T-C asymmetry we have observed (more explanations are in the Discussions section). The fourfold sp3-bonded tetrahedra are the dominant local coordination motifs in a-Si (Supplementary Fig. 7). The analysis of orientational radial distribution function<sup>26</sup> (Supplementary Fig. 8) demonstrates that under tension and compression, the main structural change for those Si tetrahedra is the bonding anisotropy (as depicted by the elongated and shortened tetrahedra in Fig. 3e). As such, the normal-stresssign-dependent response of shear moduli can be mainly attributed to this bonding anisotropy.

Besides shear modulus, we also take the coordination number (CN) change into consideration. An analysis of the fraction of a-Si atoms with CN=4 and CN>4 (Supplementary Fig. 7) shows that most atoms (over 90%) remain tetrahedral during deformation. The increase in the fraction of atoms with CN>4 is associated with deformation-induced fertile sites for shear transformations <sup>16,27</sup>, and the increased CN>4 sites under compression is higher than that under tension, especially in the plastic deformation regime, suggesting that compressive stress is more inclined to facilitate the local transformation from tetrahedral atomic environments to higher-coordinated, more fertile sites. Specifically, our deformation simulations under athermal quasi-static

conditions, in which the influence from thermal noise is absent, show that the fraction of Si atoms with non-affine squared displacement ( $D_{\min}^2$ ) > 1×10<sup>-4</sup>Ų (calculated with a constant strain offset of 0.01%) under compression is about twice that under tension (for example, ~3.1% versus ~1.5% for tension and compression at the elastic strain of 0.02). This accounts for the T-C asymmetry in terms of anelasticity, since the origin of the nonlinear elasticity of amorphous solids rests in the liquid-like non-affine deformations²8. We can also unify the anisotropic shear moduli at different normal stresses (in both elastic and plastic regimes) by identifying a single structural parameter  $\lambda_{ij}$ , where i and j are in the set of x, y or z directions. See Supplementary Note 1 and Supplementary Figs. 9–11 for details.

T-C asymmetry probed via resistance change. To verify the prediction about the CN change using simulations, we further carried out in situ coupled mechanical–electrical tests inside a TEM to measure the real-time electrical resistances of a-Si under tension and compression. If the shear deformations that locally convert the covalently bonded and semiconducting tetrahedral environments into more metallic fertile motifs with CN > 4 (refs. <sup>27,29,30</sup>) are suppressed in tension but facilitated in compression, the resistivity change is expected to be different in tension and compression.

Figure 4a shows the resistance change with time for a typical a-Si sample under tensile stress. Because the samples were loaded under a constant strain rate, the strain incurred is proportional to time under loading. The grey dashed line in Fig. 4a shows the calculated resistance change due to geometry change (Supplementary Note 2, Supplementary Figs. 12 and 13 and Supplementary Table 1 for calculation details). The curve agrees well with the measured resistance, indicating that the observed resistance increase during tensile deformation arises entirely from sample elongation, and the resistivity stays constant. For compression, by contrast, the grey dashed curve shows the resistance reduction induced by the pure geometry change of the a-Si pillar during the compressive flow and does not agree with the measured resistance change (Fig. 4b). The difference between them, especially in the plastic deformation stage, indicates that the resistivity of a-Si decreased under compression. Such an observation is consistent with, and lends support to, the mechanism revealed by atomistic



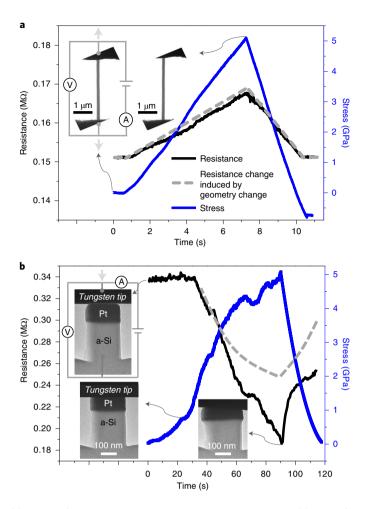
**Fig. 3 | MD** simulations of the **T-C** asymmetry in a-Si. **a**, Schematic showing uniaxial deformation (left) as well as simple shear deformation with simultaneously imposed perpendicular normal stress (right). **b**, Stress-strain curves of uniaxial tension and compression along the *z* axis at 300 K for a ML a-Si model with free surface in the *x* direction (periodic boundary conditions in the *y* and *z* directions). **c**, Stress-strain curves for simple shear deformation on the *xy* shear plane in the *x* direction, simultaneously with applied normal stress  $\sigma_n$ , as illustrated in **a**, of a ML a-Si model (periodic boundary conditions in all three dimensions). **d**, Effects of normal stress ( $\sigma_n$  in the *z* direction) on the shear moduli  $G_{xz}$  (and  $G_{yz}$ ) and  $G_{xy}$  of ML a-Si at 300 K. **e**, Typical relative rearrangements of the tetrahedral packing under tension and compression. The a-Si tetrahedron in grey is its unstrained state. Under tension (compression), bonds parallel to *z* axis stretched (contracted), while bonds perpendicular to the *z* axis contracted (stretched).

simulations (Fig. 3). That is, compressive normal stress serves to enhance the propensity for shear transformation events, which transform the semiconducting 'solid-like' atomic environments into more metallic (conductive) and denser ones, decreasing the resistivity as a result. We also obtained evidence that shear transformations have indeed increased density (Supplementary Note 3 and Supplementary Fig. 14). By contrast, tension suppresses shear transformations, such that the sample experiences mainly elastic deformation, without producing many metallic and denser environments. This is manifested by the negligible change in resistivity demonstrated in Fig. 4a.

## **Discussions**

Our results, both experimental and computational, have shown two major contributing factors to the extraordinary T-C asymmetry in a-Si. The first is the changes in the shear moduli, that is, stiffening versus weakening, depending on the sign of the normal stress. This contribution comes into play even in the nominally elastic regime, as compression lowers the shear modulus and consequently the barrier against non-affine shear transformations that produce pronounced anelasticity. The other contribution is shear-transformation-induced densification or 'liquefaction', which converts local packing motifs into denser and more metallic-like

nature materials ARTICLES



**Fig. 4 | Electrical resistance measured for a-Si under tension versus compression. a**, Stress excursion (blue curve) and corresponding resistance (black curve) as a function of time in the tensile test. The inset shows the experimental set-up and the tensile sample in its initial and final states. **b**, Stress excursion and corresponding resistance as a function of time in the compression test. The insets show the experimental set-up and the morphology of the compressed pillar at different states.

environments that are easier to further deform; the electrical resistance change in Fig. 4 provides credence to this mechanism, which becomes more prevalent with increasing plastic strain.

Specifically, the shear modulus G signifies the magnitude of the activation barrier Q for shear transformation events; the lower the G, the higher propensity for shear transformations<sup>25</sup>, and vice versa. The normal-stress-sign-dependent G in a-Si thus goes hand in hand with the  $\sigma_n$  effect on Q, which can be described by the following:

$$Q = Q_0 - \tau \alpha_{\text{shear}} \Delta \epsilon_{\text{shear}} V_{\text{inital}} - \sigma_{\text{n}} \alpha_{\text{volume}} \Delta V$$
 (1)

where  $Q_0$  is the energy barrier of a shear event at room temperature without applied stress,  $V_{\rm initial}$  is the initial volume of the zone before transformation, and  $\Delta \varepsilon_{\rm shear}$  and  $\Delta V \equiv V_{\rm final} - V_{\rm initial}$  are the local shear strain and volume variation of the final configuration with respect to the initial configuration, respectively. The dimensionless quantities  $\alpha_{\rm shear}$  and  $\alpha_{\rm volume}$  describe the dependences of Q with respect to the shear stress  $\tau$  and normal stress  $\sigma_{\rm n}$ , respectively. If the elastic modulus does not depend on stress,  $\alpha_{\rm shear}$  and  $\alpha_{\rm volume}$  are simply the fraction of shear and dilation (or contraction) of the saddle-point configuration with respect to the entire (initial-to-final) transformation. But if it does,  $\alpha_{\rm shear}$  and  $\alpha_{\rm volume}$  would absorb that additional effect as well.

For a-Si, the elementary shear transformation event turns a relatively open structure into a contracted one  $^{27,30,31}$ . In other words, a

local cluster of atoms undergoes rearrangement from the relatively low-energy configuration to a metastable one, crossing a transition state with higher coordination number (Supplementary Fig. 15) and a volume reduction, as evidenced by the atomistic calculation of Boioli et al.<sup>32</sup>; with the supercell held fixed, they studied how the supercell pressure varies along the transition path: a negative pressure variation means a negative volume change (densification) of the transformation zone. As  $\Delta V$  is negative for a-Si, and  $\alpha_{\text{volume}}$  is a positive value, the sign of  $\sigma_n$  makes the difference observed in our experiment. Supplementary Fig. 16 schematically shows the resultant Q dependence on strain under tension and compression, reminiscent of the trends with the  $G_{xz}$  (or  $G_{yz}$ ) evolution in Fig. 3d. The consequence is that compression lowers the shear modulus and the energy barrier, so the transition state is easier to be crossed, leading to easier pop-ups of shear transformation events. This instigates yielding at a lower stress, followed by strain softening (see the true stress-strain curve in Supplementary Fig. 17). By contrast, tension makes the shear events more difficult to be activated, such that the yield strength can reach a quite high value if flaw-induced premature fracture does not set in<sup>7</sup>. All in all, a-Si is stronger under tension but more 'ductile' under compression.

Importantly, such an extraordinary T-C asymmetry may exist in other amorphous materials with similar tetrahedral structures:  $\sigma_{\rm C} < \sigma_{\rm T}$  is also found in our MD simulations of a-Ge and a-SiO<sub>2</sub> (silica glass; Supplementary Fig. 18). It could be a unique property

of open-framework covalently bonded glasses. For the metallic glass samples with similar dimensions, on the other hand, their  $\sigma_{\rm T}$  is slightly lower than  $\sigma_{\rm C}$  (Supplementary Fig. 19). This is because, unlike the open structure of a-Si (ref.  $^{33}$ ), metallic glasses are densely packed with CN on the order of 12 due to the non-directional metallic bonding  $^{34}$ , and their atomic shuffle in shear transformations causes volume expansion rather than shrinkage at the saddle point  $^{35}$ . This distinction has a similar origin as the density anomaly in the melting of ice, which is lighter than liquid water, yet more shear-rigid and less diffusively mobile. The saddle-point states of the shear-diffusion transformation zone  $^{36}$  by definition need to be less shear-rigid and more diffusively mobile than the starting state. Such a trend could be generic in tetrahedrally coordinated solids  $^{37}$ .

T-C asymmetry is critical for a-Si microelectronics or microelectromechanical systems devices that serve under T-C cyclic loading. The stress-sign-dependent modulus and energy barrier we proposed here, together with the strain-stiffening mechanism found in cementite, biological materials, elastomers<sup>38</sup> and so on, may inspire us to invent new materials with novel elastic properties. For small-scale a-Si devices, an unusually high yield strength and large yield strain may be desirable and can be achieved if the structural component is designed to be under tensile loading. Certainly, the higher tensile stress would eventually cause brittle failure. It has been reported that increasing hydrogen content will mitigate the brittleness in the tensile stress state<sup>39-41</sup>. Also, lithiated Si (SiLi<sub>x</sub>) is of great application interest as an anode in a Li-ion battery. At a heavy degree of lithiation, the open-framework structure of silicon collapses, and the atomic structure is more akin to metallic glass. Correspondingly, it was found that a hydrostatic compressive stress strengthens the lithiated Si, while a hydrostatic tensile stress promotes its plasticity<sup>42</sup>. Therefore, it will be of interest to experimentally explore the chemical modulation of T-C asymmetry in a-Si.

## Conclusion

In summary, through quantitative tension and compression testing of submicrometre-scale specimens, as well as detailed MD simulations, we have uncovered an extraordinary and pronounced T-C asymmetry in a-Si. First, the yield strength in tension is considerably higher than that in compression. The asymmetry in yield strength can be explained by the 'normal stress sign effect' on the shear moduli and thus activation energy barrier for the elementary shear events-shear transformations, which carry both the anelastic and plastic events. Compression lowers the activation barrier of shear transformations to facilitate yielding, whereas tension increases the activation barrier energy, rendering the activation of shear transformations more difficult and thus requiring a larger resolved shear stress. Second, in the nominally elastic regime, a hysteresis loop associated with the non-affine deformation appears only in compression. Third, the coupled mechanical-electrical tests revealing electrical resistivity changes have provided a sensitive indicator of the structural change underlying the T-C asymmetry: shear transformations have indeed been activated in compression but not in tension, switching semiconducting motifs to more metallic, denser, liquid-like ones. The asymmetry shown in this work is expected to hold for other materials similar to a-Si. In general, our findings provide insights for understanding the intrinsic response of open-framework glasses to different stress states. They may also be of practical relevance to the utility of small-scale a-Si in microelectronics and microelectromechanical systems.

# Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of

author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41563-021-01017-z.

Received: 14 January 2021; Accepted: 20 April 2021; Published online: 31 May 2021

#### References

- 1. Meyers, M. A. & Chawla, K. K. *Mechanical Behavior of Materials* 2nd edn (Cambridge Univ. Press, 2008).
- Chen, X., Wu, S. & Zhou, J. Influence of porosity on compressive and tensile strength of cement mortar. Constr. Build. Mater. 40, 869–874 (2013).
- Pelleg, J. Mechanical Properties of Ceramics Vol. 213 (Springer Science and Business, 2014).
- 4. Wachtman, J. B., Cannon, W. R. & Matthewson, M. J. Mechanical Properties of Ceramics (John Wiley and Sons, 2009).
- Davidge, R. Mechanical properties of ceramic materials. Contemp. Phys. 10, 105–124 (1969).
- Griffith, A. The phenomena of flow and rupture in solids. *Phil. Trans. R. Soc.* A 221, 163–198 (1920).
- Zhao, P., Li, J. & Wang, Y. Extended defects, ideal strength and actual strengths of finite-sized metallic glasses. Acta Mater. 73, 149–166 (2014).
- 8. Zhang, H. et al. Approaching the ideal elastic strain limit in silicon nanowires. *Sci. Adv.* **2**, e1501382 (2016).
- Banerjee, A. et al. Ultralarge elastic deformation of nanoscale diamond. Science 360, 300–302 (2018).
- Tian, L. C. et al. Approaching the ideal elastic limit of metallic glasses. *Nat. Commun.* 3, 609 (2012).
- 11. Hedler, A., Klaumünzer, S. L. & Wesch, W. Amorphous silicon exhibits a glass transition. *Nat. Mater.* **3**, 804–809 (2004).
- Treacy, M. & Borisenko, K. B. The local structure of amorphous silicon. Science 335, 950–953 (2012).
- Wang, Y. et al. Tunable anelasticity in amorphous Si nanowires. Nano Lett. 20, 449–455 (2020).
- Sriraman, S., Agarwal, S., Aydil, E. S. & Maroudas, D. Mechanism of hydrogen-induced crystallization of amorphous silicon. *Nature* 418, 62–65 (2002).
- Deringer, V. L. B. et al. Origins of structural and electronic transitions in disordered silicon. *Nature* 589, 59–64 (2021).
- Gerbig, Y. B., Michaels, C. A., Bradby, J. E., Haberl, B. & Cook, R. F. In situ spectroscopic study of the plastic deformation of amorphous silicon under nonhydrostatic conditions induced by indentation. *Phys. Rev. B* 92, 214110 (2015)
- Liu, K., Ostadhassan, M., Bubach, B., Dietrich, R. & Rasouli, V. Nano-dynamic mechanical analysis (nano-DMA) of creep behavior of shales: Bakken case study. *J. Mater. Sci.* 53, 4417–4432 (2018).
- Ye, J. C., Lu, J., Liu, C. T., Wang, Q. & Yang, Y. Atomistic free-volume zones and inelastic deformation of metallic glasses. *Nat. Mater.* 9, 619–623 (2010).
- Herbert, E., Oliver, W. C. & Pharr, G. M. Nanoindentation and the dynamic characterization of viscoelastic solids. *J. Phys. D Appl. Phys.* 41, 074021 (2008).
- Yuan, Y. & Verma, R. Measuring microelastic properties of stratum comeum. Colloids Surf. B 48, 6–12 (2006).
- Stillinger, F. H. & Weber, T. A. Computer simulation of local order in condensed phases of silicon. *Phys. Rev. B* 31, 5262–5271 (1985).
- Justo, J. F., Bazant, M. Z., Kaxiras, E., Bulatov, V. V. & Yip, S. Interatomic potential for silicon defects and disordered phases. *Phys. Rev. B* 58, 2539–2550 (1998).
- Bartok, A. P., Kermode, J. R., Bernstein, N. & Csanyi, G. Machine learning a general-purpose interatomic potential for silicon. *Phys. Rev. X* 8, 041048 (2018).
- Deringer, V. L. et al. Realistic atomistic structure of amorphous silicon from machine-learning-driven molecular dynamics. *J. Phys. Chem. Lett.* 9, 2879–2885 (2018).
- Johnson, W. & Samwer, K. A universal criterion for plastic yielding of metallic glasses with a (T/T<sub>g</sub>)<sup>2/3</sup> temperature dependence. *Phys. Rev. Lett.* 95, 195501 (2005).
- Fan, Z. & Ma, E. Predicting orientation-dependent plastic susceptibility from static structure in amorphous solids via deep learning. *Nat. Commun.* 12, 1506 (2021).
- Demkowicz, M. J. & Argon, A. S. High-density liquidlike component facilitates plastic flow in a model amorphous silicon system. *Phys. Rev. Lett.* 93, 025505 (2004).
- Rubinstein, M. & Panyukov, M. Nonaffine deformation and elasticity of polymer networks. *Macromolecules* 30, 8036–8044 (1997).
- Argon, A. & Demkowicz, M. What can plasticity of amorphous silicon tell us about plasticity of metallic glasses? *Metall. Mater. Trans. A* 39, 1762–1778 (2008).

NATURE MATERIALS ARTICLES

- Demkowicz, M. J. & Argon, A. S. Liquidlike atomic environments act as plasticity carriers in amorphous silicon. *Phys. Rev. B* 72, 245205 (2005).
- 31. Fan, Z., Ding, J., Li, Q. J. & Ma, E. Correlating the properties of amorphous silicon with its flexibility volume. *Phys. Rev. B* **95**, 144211 (2017).
- 32. Boioli, F., Albaret, T. & Rodney, D. Shear transformation distribution and activation in glasses at the atomic scale. *Phys. Rev. E* **95**, 033005 (2017).
- Morishita, T. High density amorphous form and polyamorphic transformations of silicon. *Phys. Rev. Lett.* 93, 055503 (2004).
- Cheng, Y. & Ma, E. Atomic-level structure and structure-property relationship in metallic glasses. *Prog. Mater. Sci.* 56, 379–473 (2011).
- Schuh, C. A. & Lund, A. C. Atomistic basis for the plastic yield criterion of metallic glass. *Nat. Mater.* 2, 449–452 (2003).
- 36. Li, W. et al. Deformation-driven diffusion and plastic flow in amorphous granular pillars. *Phys. Rev. E* **91**, 062212 (2015).
- Daisenberger, D., McMillan, P. F. & Wilson, M. Crystal-liquid interfaces and phase relations in stable and metastable silicon at positive and negative pressure. *Phys. Rev. B* 82, 214101 (2010).

- Jiang, C. & Srinivasan, S. G. Unexpected strain-stiffening in crystalline solids. Nature 496, 339–342 (2013).
- 39. Johlin, E. et al. Structural origins of intrinsic stress in amorphous silicon thin films. *Phys. Rev. B* **85**, 075202 (2012).
- Gaire, C., Ye, D. X., Lu, T. M., Wang, G. C. & Picu, R. C. Deformation of amorphous silicon nanostructures subjected to monotonic and cyclic loading. *J. Mater. Res.* 23, 328–335 (2008).
- 41. Abadias, G. et al. Review article: stress in thin films and coatings: current status, challenges, and prospects. *J. Vac. Sci. Technol. A* **36**, 020801 (2018).
- 42. Zhao, K. J., Li, Y. G. & Brassart, L. Pressure-sensitive plasticity of lithiated silicon in Li-ion batteries. *Acta Mech. Sin.* **29**, 379–387 (2013).

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

© The Author(s), under exclusive licence to Springer Nature Limited 2021

#### Methods

Deposition of the a-Si film by plasma-enhanced chemical vapour deposition.

For simplicity of the submicrometre-sized mechanical samples preparation, a-Si film was deposited on a <001>-oriented and wedge-shaped single-crystalline Si substrate with an ~8 um top width (Supplementary Fig. 1a). An a-Si film was prepared using a plasma-enhanced chemical vapour deposition method with a radio frequency power of 20 W, at 250 °C substrate temperature, 800 mtorr process pressure, pure SiH $_4$  flow rate of 30 sccm and Ar flow rate of 475 sccm. The thickness of the a-Si film is about 11 µm. The deposited a-Si film adhered firmly to the substrate surface, and no obvious voids were found during the FIB milling process. The a-Si samples machined from the a-Si film have a uniform microstructure (Supplementary Fig. 1).

Sample preparation for nanomechanical tests. The a-Si pillars and tensile samples used in this work were microfabricated from the deposited parent body of a-Si film, using FIB (FEI Helios NanoLab 600 dual-beam FIB system) under a 30 kV accelerating voltage. The beam current of Ga ions sequentially decreased from 9.3 nA (coarse cutting) to 1.5 pA (fine polishing). Typical examples of the FIB-fabricated a-Si pillar and tensile samples (including the corresponding gripper, inset) are shown in Supplementary Fig. 3. The effective size d is defined as the nominal diameter measured at the half height of the pillars. The effective size d of the tensile samples is calculated by  $d=\sqrt{A}$ , where A is the measured cross-sectional area after brittle fracture.

In situ quantitative mechanical tests in TEM. The a-Si samples were compressed or tensioned under uniaxial loading performed by the Hysitron PI95 TEM PicoIndenter inside a JEOL JEM 2100F TEM instrument at 200 keV. The engineering stress was defined as the ratio of the measured load to the nominal cross-sectional area A of specimens, and the engineering strain  $\varepsilon$  was calculated to be the ratio of deformation displacement to the initial height h of pillars or initial length l of tensile samples. All in situ mechanical tests were carried out under the displacement control mode by changing the loading rate to keep a roughly constant strain rate for different samples. The strain rates for all tests were in the range  $1\times 10^{-3} \, {\rm s}^{-1}$  to  $5\times 10^{-3} \, {\rm s}^{-1}$  (quasi-static loading). The tensile and compressive tests were performed under comparable electron beam illumination.

Dynamic tensile and compressive tests. To ensure ultrahigh mechanical sensitivity in the dynamic tests, the Hysitron NanoIndenter system (Hysitron TI950) equipped with a nano dynamic mechanical analysis module, was employed. After calibration, the achievable resolutions of the nanoindentation system in displacement and in load are  ${\sim}1\,\text{nm}$  and  ${\sim}1\,\mu\text{N},$  respectively. The a-Si pillars used for dynamic compressive tests were also fabricated by FIB in the same way as mentioned above. The tensile samples were lifted out from the prethinned a-Si lamellae using a piezoelectric micromanipulator (Kleindiek Nanotechnik) and then positioned on a microelectromechanical-systems-based push-to-pull device. The tensile sample was aligned carefully perpendicular to the trench edge to secure the uniaxial loading condition, and both ends were welded via ion-beam-induced Pt deposition. The whole process was performed inside a dual-beam FIB system (FEI Helios 600 NanoLab). To avoid fracture or plastic deformation, the nominal cyclic stress was set to be far less than the fracture strength or yield strength. To ensure the data reproducibility, ten loading cycles were applied for each run of the dynamic tests. The spectrum of each load cycle is of a triangular shape, consisting of symmetrical loading and unloading portions. It was found that ten loading cycles were sufficient to achieve a steady-state dynamic response in our present experimental set-up.

MD simulations. The a-Si model containing 8,192 atoms using ML potential was generated following a quench protocol similar to that in ref.  $^{24}$ , with sample dimensions of 4.5 nm  $\times$  4.3 nm  $\times$  8.7 nm in the x,y and z directions, respectively. Stress–strain curves for uniaxial tension and compression along the z direction were obtained from samples with free surface in the x direction and periodic boundary conditions in the y and z directions. All MD simulations were implemented in Large-scale Atomic/Molecular Massively Parallel Simulator (LAMMPS) $^{43}$ . The time step used in all simulations was 1 fs. ML-modelled a-Si was produced by heating a supercell of diamond silicon composed of  $8(x)\times 8(y)\times 16(z)$  unit cells to 2,800 K into equilibrium liquid. Then it was quenched to 300 K with the effective cooling rate of  $1\times 10^{11}\,\mathrm{K}\,\mathrm{s}^{-1}$ , following the similar protocol as that in ref.  $^{24}$ . The a-Si samples using Stillinger–Weber and environment-dependent interatomic potentials contain 640,000 atoms and were prepared with the cooling rate of  $1\times 10^{12}\,\mathrm{K}\,\mathrm{s}^{-1}$ . All those quenching and

equilibrations were conducted in the isothermal-isobaric (NPT) ensemble under a Nose–Hoover thermostat with zero external pressure. The periodic boundary condition was applied in all three directions.

The deformation of a-Si samples was conducted at 300 K with the applied strain rate of  $1\times 10^9\,\mathrm{s}^{-1}$  for the ML potential as well as  $1\times 10^7\,\mathrm{s}^{-1}$  for the Stillinger–Weber and environment-dependent interatomic potentials. At different degrees of strain, the shear modulus  $G_{ij}$  along different orientations (that is,  $\pm xy, \pm xz$  and  $\pm yz$ , and we use the average value of  $G_{+j}$  and  $G_{-ij}$  as the value of  $G_{ij}$  of the a-Si models was derived from the shear stress–strain curves at small (1.0%) strain. The vibrational mean squared displacement (MSD<sub>i</sub>) of a single atom along the i direction is defined as  $[x_i\ (t) - \bar{x}_i]^2$ , where  $\bar{x}_i$  is the equilibrium (time-averaged) position of the atom along the i direction, and the MSD is evaluated on short timescales when the MSD is flat with time and thus contains the vibrational but not the diffusional contribution<sup>44</sup>. The calculated MSD was taken by averaging over 100 independent runs, all starting from the same configuration but with momenta assigned randomly from the appropriate Maxwell–Boltzmann distribution.

# Data availability

Source data are provided with this paper. Additional data reported in the Supplementary Information are available from the corresponding authors upon request.

## Code availability

The computer codes are available from the corresponding authors upon reasonable request.

## References

- Plimpton, S. Fast parallel algorithms for short-range molecular dynamics. J. Comput. Phys. 117, 1–19 (1995).
- 44. Ding, J. et al. Universal structural parameter to quantitatively predict metallic glass properties. *Nat. Commun.* 7, 13733 (2016).

## **Acknowledgements**

Z.S. and Y.W. acknowledge support from National Natural Science Foundation of China (51902249 and 5203000210), the National Key Research and Development Program of China (no. 2017YFB0702001) and China Postdoctoral Science Foundation (2019M663696). J.D. acknowledges support from National Natural Science Foundation of China (12004294) and National Youth Talents Program. J.L. acknowledges support by the National Science Foundation (DMR-1923976). L.T. acknowledges the Alexander von Humboldt Foundation and the Start-Bridge-Finish Program from International Center for Advanced Studies of Energy Conversion (ICASEC) for financial support. Z.F. thanks A. Bartok-Partay for the help in using the ML-based interatomic potential, and acknowledges the computational resources of the Maryland Advanced Research Computing Center. We thank R. Ritchie and M. Asta for helpful discussions. We thank J. Zhu, S. Yan and D. Zhang at Xi'an Jiaotong University for their assistance in nano dynamic mechanical analysis tests. E.M. and J.D. thank Xi'an Jiaotong University for supporting their work at the Center for Alloy Innovation and Design (CAID).

## **Author contributions**

E.M., J.L. and Z.S. supervised the project. Y.W. and L.T. carried out the experimental investigations with assistance from M.L., H.L. and Y.Z.; J.D. and Z.F. led the modelling effort. E.M. and Y.W. wrote the paper with input from J.D., Z.F., J.L. and Z.S. All authors contributed to the discussions.

## **Competing interests**

The authors declare no competing interests.

## **Additional information**

**Supplementary information** The online version contains supplementary material available at https://doi.org/10.1038/s41563-021-01017-z.

Correspondence and requests for materials should be addressed to E.M., J.L. or Z.S.

**Peer review information** *Nature Materials* thanks Paul McMillan and the other, anonymous, reviewer(s) for their contribution to the peer review of this work.

Reprints and permissions information is available at www.nature.com/reprints.